



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Examiner: Jagdish Patel**

**Group Art Unit 3624**

Application of:	Lawrence P. Shields et al.
Filed:	February 8, 2001
Serial No.	09/779,114
Title	SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO STOCK OPTION AND PURCHASE PLANS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION FOR EXTENSION OF TIME**

Sir:

Applicant requests a two (1) month extension of time in which to file a reply to the Office Action dated April 24, 2006 for the above application from July 24, 2006 to August 24, 2006.

Please charge the fee of \$120.00 for the extension of time to Deposit  
Account No. 16-2500 of the undersigned.

Respectfully submitted,

PROSKAUER ROSE LLP  
Attorneys for Applicant(s)

Date: August 21, 2006

By Abigail Cousins  
Abigail F. Cousins  
Reg. No. 29,292

PROSKAUER ROSE LLP  
Patent Department  
1585 Broadway  
New York, NY 10036-8299  
Tel: (212) 969-3000

08/24/2006 WABDELRI 00000108 162500 09779114  
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# **FEE TRANSMITTAL** **FY 2005**



*Complete if Known*

Application Serial Number	09/779,114
Filing Date	February 8, 2001
First Named Inventor	Lawrence P. SHIELDS et al
Group Art Unit	3624
Examiner Name	Jagdish Patel
Attorney Docket No.	74622-040

## **METHOD OF PAYMENT**

☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 16-2500

☒ Required Fees (copy of this sheet enclosed).

☒ Additional fee required under 37 CFR 1.16 and 1.17.

☒ Overpayment Credit.

☐ Applicant claims small entity status.

## **FEE CALCULATION**

### **1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	Filing	Search	Examination	Fee Paid
Utility	300	500	200	
Design	200	100	130	
Plant	200	300	160	
Reissue	300	500	600	
Provisional	200	0	0	

*Small Entity Discount*

### **1. TOTAL**

### **2. EXCESS CLAIM FEES**

	Fee	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent.	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent.	200	100
Total Claims		Extra Claims
		Fee Paid (\$)

- 20 or HP= \_\_\_\_\_ X \$50 =

HP = highest number of total claim paid for, if great than 20

Indep. Claims	Extra Claims	Fee Paid (\$)
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- 3 or HP= \_\_\_\_\_ X \$200 =

HP = highest number of total claim paid for, if great than 3

Multiple Dependent Claims	Fee(\$)	Small Entity fee (\$)	Fee Paid (\$)
	360	180	

### **2. TOTAL:**

### **3. APPLICATION SIZE FEE**

If the specification and drawing exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Additional 50 or fraction thereof	Fee (\$)	Fee Paid
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-100 = \_\_\_\_\_ /50 = \_\_\_\_\_ round up to a whole number x \_\_\_\_\_ =

### **3. TOTAL:**

## **CORRESPONDENCE ADDRESS**

Direct all correspondence to:

Patent Department  
Proskauer Rose LLP  
1585 Broadway  
New York, NY 10036  
Tel. No.: (212)969-3000  
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## **FEE CALCULATION (continued)**

### **4. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte re-examination	
120	60	Extension for reply within 1 <sup>st</sup> mo.	120
450	225	Extension for reply within 2 <sup>nd</sup> mo.	
1,020	510	Extension for reply within 3 <sup>rd</sup> mo.	
1,590	795	Extension for reply within 4 <sup>th</sup> mo.	
2,160	1,080	Extension for reply within 5 <sup>th</sup> mo.	
500	250	Notice of Appeal	
500	250	Filing a brief in support of an appeal	
1,000	500	Request for oral hearing	
400	0	Petitions to the Director	
180	180	Submission of IDS	
790	395	Filing a submission after final rejection (37 CFR 1.129(a))	
790	395	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
110	55	Submission of Terminal Disclaimer	
Other fee (Specify)		Request for Continued Examination (RCE)	
Other fee (Specify)			
<b>4. TOTAL:</b>			120.00

## **TOTAL AMOUNT SUBMITTED**

(\$) 120.00

## **SIGNATURE BLOCK**

Respectfully submitted,

Date: August 21, 2006  
Reg. No.: 29,292

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Fax No.: (212)969-2900

*Abigail Cousins*  
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